

# A topological proof of the Shapiro–Shapiro Conjecture

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# Parametric curves and Wronskians

- ▶ Parametric curve  $\phi : \mathbb{P}^1 \rightarrow \mathbb{P}^k$ :

$$t \mapsto \phi(t) = [ f_0(t) : \cdots : f_k(t) ], \text{ where } f_i(t) \in \mathbb{C}[t]_{\leq n}.$$

- ▶ The **Wronskian** of  $f_0, \dots, f_k$  is given by

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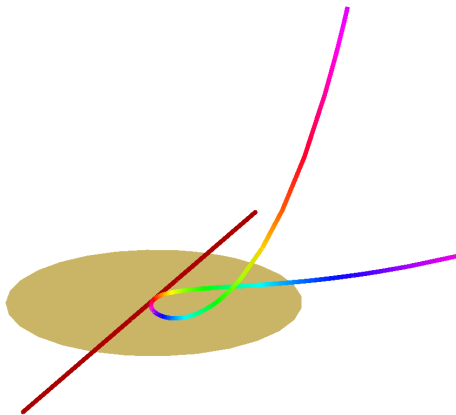
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- ▶ Detects **flexes**:  $t$  such that  $\phi, \phi', \phi'', \dots, \phi^{(k)}$  is linearly dependent (e.g. inflection point, cusp, ...)
  - ▶ **Simple flex**: Rank deficiency at  $\phi^{(k)}$ , fixed at  $\phi^{(k+1)}$ .

## A higher-dimensional simple flex (in $\mathbb{P}^3$ )



- ▶  $C$  meets its tangent **line** to order 2 (generic behavior)
- ▶  $C$  meets its tangent **plane** to order  $\mathbf{3+1 = 4}$  (flex!)

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The Wronskian computes the **critical points** where  $\phi'(t) = 0$ :

$$\text{Wr}(\phi) = \det \begin{bmatrix} f_0 & f_1 \\ f_0' & f_1' \end{bmatrix} = f_0 f_1' - f_0' f_1 = 1 - t^4.$$

4 critical points at  $t = \pm 1, \pm i$ .

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## Theorem (Classical)

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Deep connection to Schubert calculus:

The number of such curves (counted with multiplicity) is the number of **standard Young tableaux**:

$$\text{SYT}(\begin{array}{|c|c|c|} \hline & & \\ \hline \end{array}) = \left\{ \begin{array}{|c|c|c|} \hline 1 & 2 & 4 \\ \hline 3 & 5 & 6 \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline 1 & 3 & 5 \\ \hline 2 & 4 & 6 \\ \hline \end{array}, \dots \right\}$$

## Over $\mathbb{R}$ , things are remarkably nice!

Shapiro–Shapiro Conjecture ('95) / M–T–V Theorem:

Theorem (Mukhin–Tarasov–Varchenko '05, '09)

*If  $W_{\mathbb{R}}(\phi)$  has all real roots, then  $\phi$  itself is defined over  $\mathbb{R}$  (up to coordinate change on  $\mathbb{P}^k$ ).*

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**Goal for today:**

Theorem (L–Purbhoo '19)

*Let  $W_{\mathbb{R}}(\phi)$  have  $n_1$  distinct real roots,  $n_2$  complex conjugate pairs. Over  $\mathbb{R}$ , the number of such  $\phi$ , counted with signs, is the symmetric group character  $\chi^{\boxplus}(2^{n_2}, 1^{n_1})$ .*

Recovers M–T–V in the case  $n_2 = 0$ .

## (Wronskians and) Schubert calculus

The **Grassmannian** is the space of planes:

$$\mathrm{Gr}(k, \mathbb{C}^n) = \{\text{vector subspaces } S \subset \mathbb{C}^n : \dim(S) = k\}.$$

**For us:** subspaces  $\langle f_0, \dots, f_k \rangle$  of the space of polynomials  $\mathbb{C}[t]_{\leq n}$ .

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Simplest (codimension 1) **Schubert variety**:

$$X^{\square}(F_{n-k}) = \{S \in \mathrm{Gr}(k, n) : S \cap F_{n-k} \neq \emptyset\}.$$

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**For us:** “divisibility flags”  $\mathcal{F}(z)$  for  $z \in \mathbb{C}$ :

$$\mathcal{F}(z) : \{f \text{ divisible by } (t - z)\} \supset \{f \text{ divisible by } (t - z)^2\} \supset \dots.$$



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- ▶ **Fiber** of the Wronski map  $\Leftrightarrow$  set of  $\phi$  with specified flexes.
- ▶  $\mathrm{Wr}(\phi) = (t - t_1) \cdots (t - t_N)$   
 $\Leftrightarrow t_1, \dots, t_N$  are flexes of the curve  $\phi(t)$ .

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This is an intersection of Schubert varieties:

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Schubert calculus: Such an intersection is counted (with multiplicity) by **standard Young tableaux**:

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## Shapiro–Shapiro / M–T–V, geometric statement

Theorem (Mukhin–Tarasov–Varchenko '05, '09)

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**Many consequences:**

- ▶ Fiber cardinality is **exactly**  $\#\text{SYT}(\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix})$
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### Key idea

Degenerate the problem until it breaks into pieces.

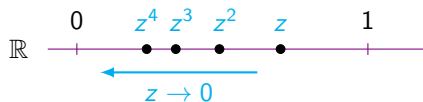
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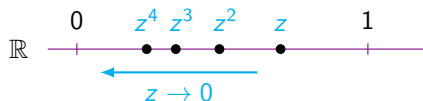
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What will happen to the fiber at  $z = 0$ ?

## Critical points of rational functions, redux

Degree-3 maps  $\phi : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ ,  $\phi(t) = \frac{a_3 t^3 + a_2 t^2 + a_1 t + a_0}{b_3 t^3 + b_2 t^2 + b_1 t + b_0} :$

$$\blacktriangleright Gr(2, 4) = Gr(2, \mathbb{C}[t]_{\leq 3}) \ni \begin{bmatrix} a_3 & a_2 & a_1 & a_0 \\ b_3 & b_2 & b_1 & b_0 \end{bmatrix}$$

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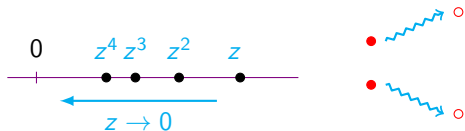
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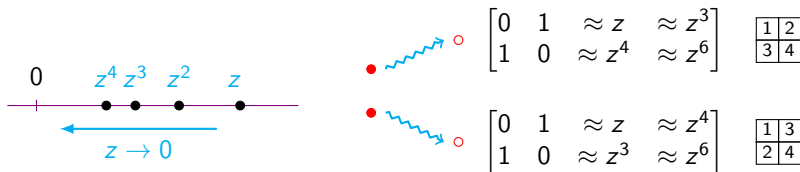
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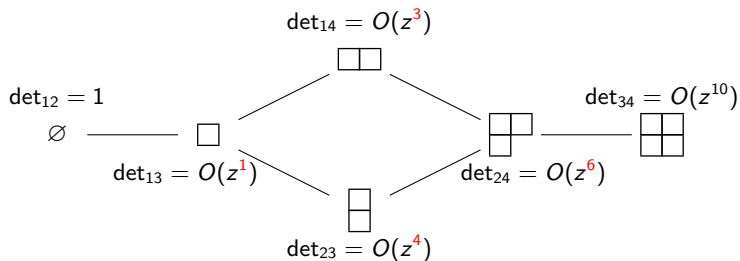
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# Tableau labels from Plücker coordinates

Limiting matrix:

$$\bullet \rightsquigarrow \circ \quad \begin{bmatrix} 0 & 1 & \approx z^1 & \approx z^3 \\ 1 & 0 & \approx z^4 & \approx z^6 \end{bmatrix} \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array}$$

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## Combinatorics and geometry

Theorem (Purbhoo '09, Speyer '14)

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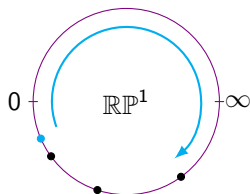


tableau promotion

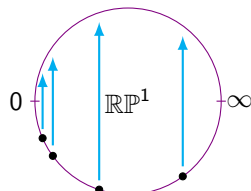


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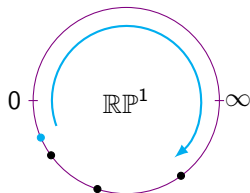


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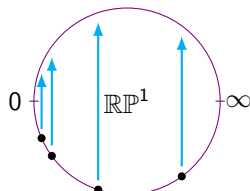


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And more:

- ▶ Topology and genus when  $\dim(Z) = 1$  (L, Gillespie-L)
- ▶ Orthogonal Grassmannians (Purbhoo, Gillespie-L-Purbhoo)
- ▶ Vector bundles on  $\overline{M}_{0,n}$  (Kamnitzer, Rybnikov)

## A challenge and a new approach

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**Challenge for geometers:**

- ▶ M–T–V proof uses integrable systems, the Bethe ansatz

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## A challenge and a new approach

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**Now:** conjugate roots in  $\mathbb{C}$  and a topological approach.

$$(-) \begin{array}{|c|c|c|} \hline 1 & 2 & 5 \\ \hline 3 & 4 & 6 \\ \hline \end{array}, \quad (+) \begin{array}{|c|c|c|} \hline 1 & 3 & 5 \\ \hline 2 & 4 & 6 \\ \hline \end{array}, \dots$$

Oriented Young tableaux.

## Generalization: complex conjugate roots for $\text{Wr}(\phi)$

### Definition (Cutting up $\mathbb{R}[t]_{\leq N}$ )

For a partition  $\mu = (2^{n_2}, 1^{n_1})$ , let  $P(\mu)$  be

$$P(\mu) = \left\{ \begin{array}{l} \text{polynomials with} \\ n_1 \text{ distinct real roots,} \\ n_2 \text{ complex conjugate pairs} \end{array} \right\} \\ \subseteq \mathbb{R}[t]_{\leq N}.$$

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Base case:  $\mu = (1^N)$ , all real roots.

- ▶ We study the restricted Wronski map

$$\text{Wr}_\mu : \text{Wr}^{-1}(P(\mu)) \rightarrow P(\mu).$$

Note: we're always in the Schubert cell  $X^\emptyset(\infty)^\circ$  (no roots at  $\infty$ ).

## Topological and algebraic degrees

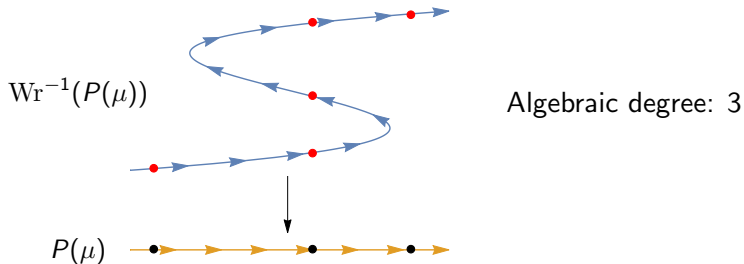
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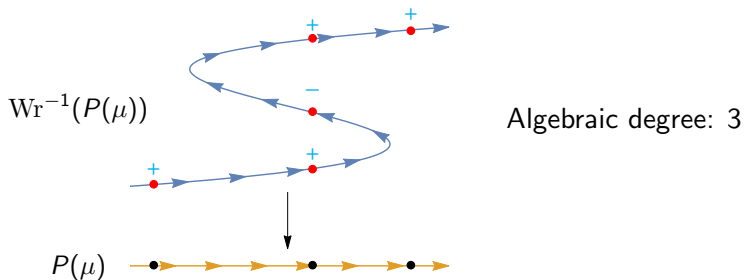




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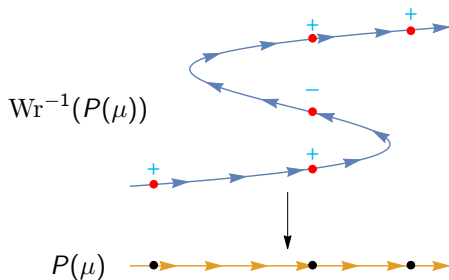
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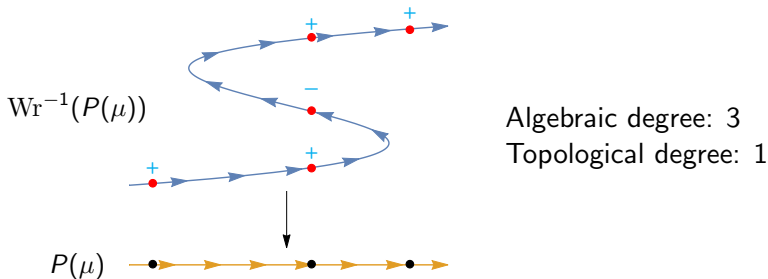


Algebraic degree: 3  
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- ▶ We use a new **“character” orientation** on the Schubert cell.






# The topological degree of $Wr_\mu$


Character table of  $S_4$ .  $(\chi^\lambda(\mu))$

$\lambda, \mu$	(4)	(3, 1)	(2 <sup>2</sup> )	(2, 1 <sup>2</sup> )	(1 <sup>4</sup> )
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Under the **character orientation**, the restricted Wronski map  $\text{Wr}_\mu$  has topological degree  $\chi^{\text{img alt="Young diagram for (k, 1^{n-k})" data-bbox="380 815 425 855"}}(\mu)$ .

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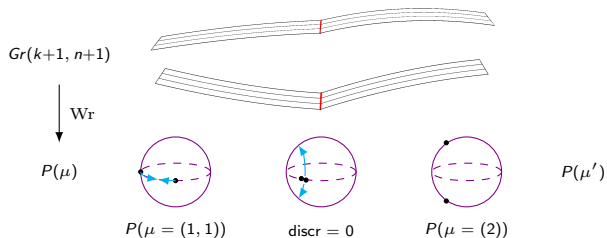
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- ▶ Special case:  $\mu = (1^N)$ , no dominos  $\rightsquigarrow \chi^{\boxplus\boxplus}(1^N) = \#\text{SYT}$ .
- ▶ **Corollary:** Shapiro–Shapiro Conjecture.

# Character orientation of $Gr(k+1, n+1)$

- ▶ Boundary between different  $P(\mu)$ 's when roots collide:

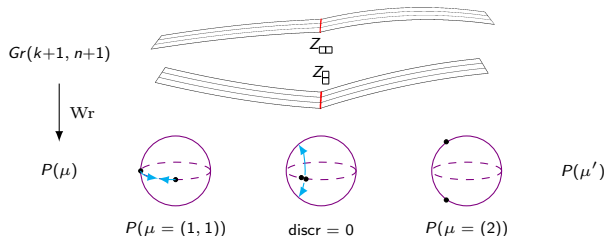
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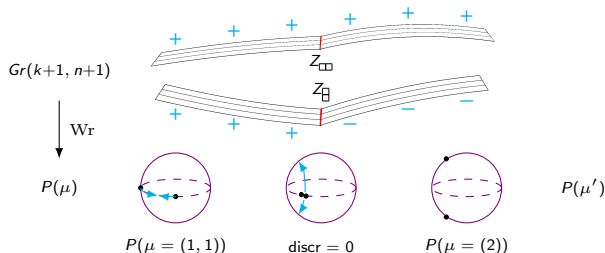


- ▶  $\Delta$  lifts to  $Z_{\square\square} \cup Z_{\square}$ , two kinds of “double flex”:
  - ▶ Type  $\square$ : rank deficiency at  $\phi^{(k-1)}$  rather than  $\phi^{(k)}$ .
  - ▶ Type  $\square\square$ : rank deficiency at  $\phi^{(k)}$  and again at  $\phi^{(k+1)}$ .

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- ▶ **Character orientation:** multiply by the equation of  $Z_{\boxminus}$ .

## Labeling fibers by signed Young tableaux

**Proof sketch (signs agree with M–N rule):**

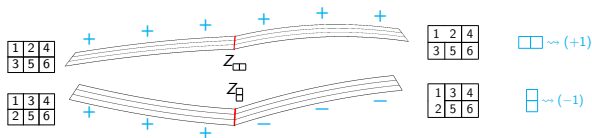
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Case 1:  $\begin{array}{|c|} \hline 1 \\ \hline 2 \\ \hline \end{array} \leftrightarrow \begin{array}{|c|} \hline 1 \\ \hline 2 \\ \hline \end{array} / \begin{array}{|c|c|} \hline 1 & 2 \\ \hline \end{array} \leftrightarrow \begin{array}{|c|c|} \hline 1 & 2 \\ \hline \end{array}$

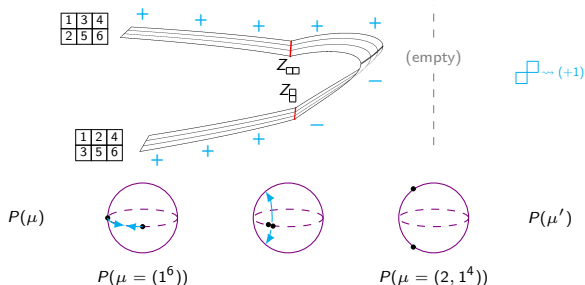


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Case 2:  $\begin{array}{|c|c|c|} \hline & 3 & \\ \hline 2 & & \\ \hline \end{array} \longleftrightarrow \begin{array}{|c|c|} \hline 3 & 2 \\ \hline \end{array}$



## Open questions

- ▶ (Representation theory).

Do all  $S_N$  character values  $\chi^\lambda(\mu)$  give topological degrees of real Schubert problems? ( $\mu \neq (2^a 1^b)$ )

- ▶ (Complex geometry).

Explicit geometry of  $W_{r,\mu}$  over  $P(\mu)$  for  $\mu \neq (1^N)$ ?

- ▶ (Stable curves).

How does the geometry look over the moduli space  $\overline{\mathcal{M}}_{0,N}$ ?

- ▶  $\overline{\mathcal{M}}_{0,N}(\mathbb{R})$  is non-orientable!

Many interesting relationships to find between geometry and combinatorics.



Thank you!